2022

KILLGORE FACULTY RESEARCH PROGRAM

Traditional Research Track

Proposal Information and Preparation Instructions

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SPONSORED RESEARCH SERVICES

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Anne-Christine Barthel – Accounting, Economics and Finance CC 336B Dave Howe – Management, Marketing & General Business CC 213K

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2022 KILLGORE FACULTY RESEARCH PROGRAM

Program Goals:

- 1. To discover new knowledge leading to publication, performance, or exhibition appropriate to the applicant's discipline.
- 2. To educate students in the philosophies, techniques and tools of research as appropriate to the applicant's discipline.
- 3. It is expected that the recipient of a grant will submit an external funding proposal within the 12 months following submission of the final report.

Eligibility:

All full-time faculty members, including those with split appointments, are eligible to apply. A faculty member may submit a proposal individually and also as a member of a team; however, please note that the Committee will not normally fund more than one proposal per person per fiscal year. Faculty may only be funded three times in a five-year period. Favorable consideration will be given to new and non-tenured faculty. Termination of the faculty member's relationship with WTAMU will result in termination of the grant.

General:

Proposals must be submitted on current forms. Forms from previous years will <u>not</u> be accepted. Proposals for grants will be considered on an individual basis by members of the Killgore Research Committee. All proposals must be able to be completed without collaborative input or materials from another project submitted for funding. Final approval of proposals is contingent on the availability of funds and is subject to approval of the Vice President of Research and Compliance. The maximum amount allowable for a proposal is **\$5,000**. These funds may be used for student wages (\$2,500 maximum) as well as the purchase of supplies, services, etc. to conduct the research. Faculty wages are not allowed. Travel expenses may be considered <u>only</u> if travel is <u>integral to the collection of data</u>. **Completed proposals must be received by Wednesday**, **September 29, 2021.** Bring to **Glenda Norton** <u>with all signatures</u> at Sponsored Research Services-Killgore Research Center **Room 167, by 2:00 p.m.**

Proposal Requirements:

To be considered for funding, all final reports and posters from <u>prior</u> internal grants must be turned in to Glenda Norton. The following items must be included in the proposal and turned in by the deadline:

1. Proposal Cover Page

This document provides the Committee a brief overview of the proposal, pertinent information about the applicant, necessary signatures, a layperson's summary, and must demonstrate alignment with the WTAMU mission statement.

2. Narrative

- Type must be **double-spaced**.
- No smaller than 10-point font and within margins provided on the form.
- On three-page form provided. Extra pages will be redacted.
- At the end of the narrative, include a timeline of your project activities.
- The proposed research narrative should be a clear statement of the work to be undertaken. A good proposal will address the evaluation criteria as described in this document.

3. Bibliography

Include a pertinent, one-page bibliography.

4. Budget Justification

A brief, written justification of each budget item is required. (See sample justification at the end of these guidelines.)

5. Budget

Each proposal must contain a detailed budget prepared to represent the costs of the proposed research. Awards are made in the amount of **\$5,000 or less**. All applicants are required to discuss budgets with Glenda Norton, Internal Grant Coordinator, **no later than September 22, 2021**. Please contact Ms. Norton at 651-3553 to schedule an appointment or email/discuss by phone. Applicants must use the budget form provided. Round all subtotals to the nearest dollar. Faculty planning to use equipment in a WTAMU lab must arrange a meeting with the PI of the laboratory housing the equipment to discuss the research and get a written statement of support and estimate of any associated costs from PI. The signed statement of support and cost estimate is required for the project budget.

Be sure to include any funding external to WTAMU that has been appropriated for the project, whether cash or in-kind. ("Inkind" is defined as a non-monetary contribution of equipment, supplies, property, office/lab space, staff time, etc. as distinguished from cash. Do not include in-kind from yourself or WTAMU.) Include this information at the bottom of the budget spreadsheet, in the budget justification, and provide documentation of the commitment in the appendix.

a. Student Wages

Please approximate the required number of hours necessary to complete the project when student wages are requested. (The Killgore Research Committee reserves the right to adjust wage requests.) The wages must be justified and must not exceed \$2,500. Also, the hourly wage rate must be from \$10.00 - \$12.00 per hour, and no more than 20 hours a week can be billed. Overtime is an unallowable cost on this grant. NOTE: Students already on payroll, (graduate assistants, etc.) are not eligible for wages through this grant program. \$6 per employee has been added to the budget to cover background checks.

Foreign students with valid F-1 status are eligible for on-campus employment. No special authorization from the Department of Homeland Security is needed to obtain this type of employment. However, a <u>social security card</u> is required. On-campus employment is limited to 20 hours per week during the fall and spring semesters. Employment in excess of 20 hours per week is an unallowable expense on this grant as well as being unlawful during the fall or spring semesters for F-1 status students. For more information on international student employment, visit <u>U.S. Immigration and Customs Enforcement</u>.

b. Travel

Travel for research, including travel to libraries and research archives, will be considered for funding when integral to the research and appropriately justified. This is not intended to be a travel grant; any requested travel must be for the purpose of gathering data needed for the project. Funding for international travel is contingent not only on Committee and the Vice President of Research and Compliance's approval, but on additional approvals by the President and Board of Regents as per WTAMU Policy 6-13. International travel funding requests are discouraged.

c. Supplies and Services

This category will include items/services that will be consumed during the course of the project or will have no residual value after use. Many Amazon 3rd party vendors will not honor WTAMU's tax exempt status, so we strongly discourage using Amazon quotes for budgeting purposes because we may not be able to make the purchase from that vendor. Taxes charged on any item cannot be reimbursed through grant funds.

d. Equipment

This category will include items that retain value after the completion of the project. Many items such as laptops, recording equipment, etc. are available for use through the library. Generally, computer hardware and software are not funded by this grant program. All equipment must be returned to Glenda Norton at the end of the project.

e. Other Considerations

Since posters are required, the cost is included on the budget form.

Include shipping and any special handling costs in your budget.

6. Vitae

A two-page vita for the PI and each Co-PI should be included. <u>Extra pages will be eliminated from the proposal before</u> <u>submission to the Committee.</u>

7. Appendices

Additional information that is pertinent to the proposal may be attached in an appendix. Information included in an appendix should only supplement and/or clarify information already contained in the proposal narrative. Be aware that the Committee is not required to read the appendices.

Additional Requirements for Applicants:

The applicant is required to schedule an appointment with a Killgore Research Committee member from his/her college to discuss the proposal prior to submission (a list of Committee members is on page 1). The Committee member that meets with the applicant is required to sign the proposal. If a current Killgore Research Committee member wishes to apply for a faculty grant while serving on the Committee, they must recuse themselves from scoring the **faculty** proposals and being part of the related deliberations.

Criteria for Proposal Evaluation:

To ensure your proposal receives the maximum number of points, use the following evaluation criteria to determine if your proposal satisfactorily addresses the questions used to judge your project.

- 1. To what degree does the proposed project meet the following goals? (10 points)
 - a. To discover new knowledge or validate data leading to external proposal development, publication, performance or exhibition appropriate to the applicant's discipline.
 - b. To educate students in the philosophies, techniques and tools of research, and align with WTAMU's mission.
 - c. Ability to translate into an externally funded grant proposal.
- 2. To what degree is the problem/issue/need to be addressed by the proposed project identified and documented? (10 points)
- 3. Are the proposed objectives clearly stated, specific, and attainable? (10 points)
- 4. Is the methodology appropriate, clearly defined, and realistic for the time frame of the proposal? (10 points)
- 5. Is the budget request reasonable and justified? (10 points)
- 6. To what extent is the PI/Co-PI qualified to complete the proposed project? (10 points)

All applicants will be notified in writing (normally via e-mail) regarding the action taken on their proposal. Those not funded are encouraged to meet with their college's Killgore Research Committee member within 45-60 days after the funding announcement for a debriefing. The Committee member will be able to provide helpful feedback from the Committee to enhance future proposals.

Compliance Committees

Research projects requiring compliance committee approval (IRB for human subjects and IACUC for animal subjects) need <u>not</u> be submitted to the respective committee <u>prior to proposal submission</u>; however, approval must be received prior to the start of the project if awarded. Any questions about whether a particular proposal needs compliance committee approval should be sent to <u>SRSinternalgrants@wtamu.edu</u> with the subject line "compliance approval".

Proposals that deal with humans must go before the Institutional Review Board (IRB) for Human Subjects. Use the following statement to determine if your proposal is required to go before the IRB:

Human beings may be studied in many ways and under a variety of circumstances and conditions. <u>All research</u> <u>involving human subjects shall be subject to review by the Institutional Review Board (IRB)</u>. This includes interviews, tests, observations, and inquiries designed to elicit or obtain non-public information about individuals and groups; a trial or special observation which aims to test a hypothesis to discover some unknown principle or effect; systematic studies in which any substance or stimulus is administered to a subject by any route or method; and studies which involve changes in physical or psychological state or environment, changes in diet, and to the pertinent methods for studying alterations in body functions and behavior under such conditions.

Proposals involving vertebrate animals must have approval from the Institutional Animal Care and Use Committee (IACUC).

A Biohazardous Use Authorization (BUA) is required for laboratory research involving biohazardous materials and for clinical research involving human gene transfer. Obtaining a BUA will help ensure that your research is conducted in compliance with biohazardous materials regulations (e.g., NIH, CDC, OSHA, etc.). Authorization must come from the Institutional Biosafety Committee (IBC).

It is expected that the recipient of a grant will submit an external funding proposal within the 12 months following submission of the final report. You may not apply for Killgore Faculty Research grant funding again until this expectation is met.

Final Report, Equipment Return, and Poster

Upon completion of the project, a Final Report outlining the results of the study must be submitted in electronic Word format (using the "Final Report Form") to Glenda Norton, <u>SRSinternalgrants@wamu.edu</u>. **Please submit this report no later than August 31, 2022.** All equipment must be returned to Glenda Norton, KRC 167, by the same date.

Posters (PowerPoint version) should be sent along with the Final Report if ready, but if extra time is needed to complete data analysis, the poster can be turned in later. The annual Faculty Research Poster Session is in the spring semester, so please submit the poster **no later than January 31, 2023**, so we can order the poster for you.

2022 KILLGORE FACULTY RESEARCH PROGRAM Assembly Instructions & Timeline

Assembly Instructions / Checklist

Please assemble your proposal in the following order:

- 1. Proposal Cover Pages
- 2. Narrative (3 pages maximum)
- 3. Bibliography (1 page)
- 4. Budget Justification (1 page)
- 5. Budget Form (Excel sheet)
- 6. Vitae (2 pages maximum)
- 7. Appendices (if needed)

Bring the completed proposal package to Glenda Norton, Sponsored Research Services, Killgore Research Center 167, before the deadline listed on page 2. Proposal cover pages, narrative, bibliography and budget justification should be in Word format.

Timeline

September 22, 2021 – Deadline for meeting with Internal Grants Coordinator for budget approval

September 29, 2021 – Deadline for proposal submissions (2:00 p.m.)

October 15, 2021 – Committee deliberations

October 20, 2021 - Notification of intent to award

November – *Before the project can start, all training requirements must be completed and Compliance Committee approvals received (if needed).

July 29, 2022 – Last day to order supplies

August 21, 2022 – Last day to allocate wages

August 31, 2022 – Deadline for submitting the final report*, poster, and equipment return

*As noted on page 5, if additional time is needed to complete data analysis, absolute last day for poster submission will be January 31, 2023.

2022 KILLGORE FACULTY RESEARCH PROGRAM Budget Justification

Sample

Provide a justification (in a sentence or two) for each item requested in the budget. Please follow the same order found on the budget spreadsheet.

1. Personnel - \$1,042

It will take approximately 100 hours of labor by undergrad students (2 students, 50 hours each) to assemble the widgets and 100 hours for a graduate student to complete the experiments described in the research narrative. I am requesting \$10 per hour for each student plus fringe benefits. \$6 per student is requested for background checks.

2. Travel - \$1033

One round-trip to Gooberville, TX, will be necessary to analyze murals painted by the Goobers as no pictures are available via the outernet.

3. Supplies and Services - \$825

As described in the research narrative, 15 widgets at \$25 each and 15 thingamabobs costing \$30 each are needed for the experiments to be conducted. Prices are the based on quotes from Gooberville.com at the time of the writing of this proposal, and there is no additional cost for shipping.

4. Equipment - \$2,050

The special assembly table has already been constructed by Clarence Goober, and it is necessary for the experiments in this proposal.

5. Poster - \$50

Required deliverable (already built into the budget form).

6. External Funds/In-kind - \$200

Lackluster, Inc. is providing the specially-designed glue for the assembly process, valued at \$200.